

01/26/01  
U.S. PRO

**NEW UNITED STATES UTILITY PATENT APPLICATION**  
under 37 C.F.R. 1.53(b)

**Page 1**

**Atty. Docket No. 03797.86780**

01/26/01  
U.S. PRO  
03797.86780

Director of the U.S. Patent and Trademark Office  
Box Patent Applications  
Washington, D.C. 20231

Enclosed herewith is a new patent application and the following papers:

First Named Inventor (or application identifier): Joseph M. Joy, et al.

Title of Invention: METHOD AND APPARATUS FOR EMULATING ETHERNET  
FUNCTIONALITY OVER A SERIAL BUS

1.  Specification 22 pages (including spec, claims, abstract) / 25 claims / 2 independent)
2.  Declaration/Power of Attorney is:
  - attached in the regular manner.
  - NOT included, but deferred under 37 C.F.R. 1.53(f).
3.  9 Distinct sheets of  Formal  Informal Drawings
4.  Preliminary Amendment.
5.  Information Disclosure Statement  
 Form 1449  
 A copy of each cited prior art reference
6.  Assignment with Cover Sheet.
7.  Priority is hereby claimed under 35 U.S.C. 119(e) based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)

8.  Priority document(s).
9.  Statement Claiming Small Entity Status.
10.  Microfiche Computer Program (Appendix).
11.  Nucleotide and/or Amino Acid Sequence Submission.
  - Computer Readable Copy.
  - Paper Copy (identical to computer copy).
  - Statement verifying identity of above copies.

**NEW UNITED STATES UTILITY PATENT APPLICATION**  
**under 37 C.F.R. 1.53(b)**

**Page 2**

**Atty. Docket No. 03797.86780**

**12. Calculation of Fees:**

FEES FOR	EXCESS CLAIMS	Fee	AMOUNT DUE
Basic Filing Fee (37 C.F.R. 1.16(a))			\$710.00
Total Claims in Excess of 20 (37 C.F.R. 1.16(c))	5	18.00	\$90.00
Independent Claims in Excess of 3 (37 C.F.R. 1.16(b))	0	80.00	\$0.00
Multiple Dependent Claims (37 C.F.R. 1.16(d))	0	130.00	\$0.00
<b>Subtotal - Filing Fee Due</b>			<b>\$800.00</b>
		REDUCE BY (%) (\$)	
Reduction by 50%, if Small Entity (37 C.F.R. 1.9, 1.27, 1.28)	N/A		\$0.00
<b>TOTAL FILING FEE DUE</b>			<b>\$800.00</b>
Assignment Recordation Fee (if applicable) (37 C.F.R. 1.21(h))	0	40.00	\$40.00
<b>GRAND TOTAL DUE</b>			<b>\$840.00</b>

**13. PAYMENT is:**

- Please charge **\$840.00** for the Filing Fee and Assignment Recordation Fee to our Deposit Account No. 19-0733. However, if the calculated fee is not correct, you are authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 19-0733.
- not included, but deferred under 37 C.F.R. 1.53(f).

**14. All correspondence for the attached application should be directed to:**

Banner & Witcoff, Ltd.  
1001 G Street, N.W.  
Washington, D. C. 20001-4597  
Telephone: (202) 508-9100  
Facsimile: (202) 508-9299

**15. Other:** \_\_\_\_\_

Date: January 26, 2001

By:   
Bradley C. Wright  
Reg. No. 38,061

BCW/pp